

# Research Request Guidelines

Arthrex, Inc. 1370 Creekside Boulevard • Naples, Florida 34108



Arthrex provides funding of independent research activities that are aligned with our strategic objectives in order to “help surgeons treat their patients better.” No direct payment will be made to an individual or health care professional. Awarded research requests are provided without condition of product use or contingent upon any commitment to purchase, use, or recommend Arthrex products.

The Investigator-Initiated Research Application Request Form must be completed and submitted by the Principal Investigator or an authorized, non-Arthrex-affiliated requestor on behalf of the site or organization. The request should provide a detailed protocol, including an itemized list of any supplies required to facilitate the study, and any other relevant documentation or items as listed on the form. Incomplete applications will delay the decision process. Supplemental attachments beyond the application will also significantly delay the review process. Requests should be submitted to Arthrex via the instructions on the research application at least three months prior to the start date of the project to ensure enough time to complete the review, and if accepted to complete the research contract and delivery of approved support. Additional time may be required to finalize agreements and deliver funding/supplies due to local regulations and global trade requirements outside the U.S. and EU. Applicants from such locations are encouraged to submit research proposals at least five months prior to the planned start date of the project.

All requests will be objectively reviewed by the Arthrex Research Review Committee. Voting members of the committee include managing directors and research directors. Product management and sales personnel may provide input about the suitability of a submitted request or research product donation requested by a recipient organization, but they may not control or unduly influence such decisions. No other employee or representative is authorized to commit research request funding or provide product donation without the prior approval of the Arthrex Research Review Committee.

Consistent with the AdvaMed Code of Ethics and Arthrex policy, decisions will be based on objective criteria such as clinical significance, scientific impact, innovative capacity, methodological approach, scientific environment, proposed budget, study timeline, and overall compliance.

## Objective Criteria:

**Clinical Significance:** Arthrex intends to support quality study projects that are aligned with our strategic research initiatives. Projects that are unrelated to orthopedic surgery, not of significant educational value, duplicative of other projects funded by the company, or already published may be denied.

**Scientific Impact and Innovative Capacity:** Projects that have a greater potential impact on the orthopedic medical community may receive higher consideration than those of limited scope.

**Methodological Approach:** The principal investigator or requestor completing the application form must provide a detailed section that contains information pertaining to materials and methodology. Updated citations to published literature will assist objective review.

**Scientific Environment:** The site must be appropriate and conducive to achieving its study objectives.

**Proposed Budget:** Arthrex will assess the justification of the detailed budget provided by the requestor, ensuring components appear in line with fair market value. Arthrex may award a lesser amount than requested.

**Requested Products:** Arthrex will assess the justification and utility of the requested products. Once a Research Request has been approved, any requests for additional product will require further approval and are subject to availability. Arthrex may provide recommendations on alternative products and associated techniques.

**Study Timeline:** Timely, yet realistic, execution of study objectives with concrete milestones will provide clear goals and measurable outcomes.

**Compliance:** Requests must be consistent with AdvaMed Code of Ethics and Arthrex policies. If a compliance violation is noted, the request will be rejected in its entirety.